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PTO/SB/05 (08-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

P971853CON Attorney Docket No First Inventor or Application Identifier Paulman Folded, Bent and Re-Expanded Heat Exchanger Tube and EF366674258US

(Only for new nonprovisional applications under 37 C.F R § 1.53(b)) Express Mail Label No.

Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO Box Patent Application See MPEP chapter 600 concerning design patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 🖂 Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🖂 Specification Total Pages a.

Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention 1. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c.

Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATIONS PARTS - Brief Description of the Drawings (if filed) 9. 🛛 Assignment Papers (cover sheet & document(s)) - Detailed Description - Claim(s) 10. 37 C.F.R.§3.73(b)Statement Power of - Abstract of the Disclosure (when there is an assignee) Attorney 4. 🔯 Drawing(s) (35 U.S.C.113) [Total Sheets 11. 🔲 English Translation Document (if applicable) Total Pages 5. Oath or Declaration 12. Copies of IDS Information Disclosure a. Newly executed (original or copy) Statement (IDS)/PTO-1449 Citations b.
Copy from a prior application (37 CFR 1.63 (d)) 13. 🔲 Preliminary Amendment (for a continuation/divisional with Box 17 completed) 14. 🛛 Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 1. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) 15. 🔲 Certified Copy of Priority Document(s) named in the prior application, see 37 CFR (if foreign priority is claimed) 1.63(d)(2) and 1 33(b). Other: Supplemental Information Disclosure 16. 6. Application Data Sheet. See 37 CFR 1.76 Statement; Associate Power of Attorney or Agent 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application Nos 09/226,908; 08/798,615, 08/572,180; 60/006,655 Prior application information. Examiner Atkinson, C. Group / Art Unit: 3743 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or 🔲 Correspondence address below ☐ Customer Number or Bar Code Label ode label here) (Insert Cust Name PATENT TRADEMARK OFFICE Address City State Zip Code Telephone Fax Country Name (Print/Type) Michael R. Osterhoff Registration No. (Attorney/Agent) 46.973 Signature Date July 30, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (09-00)

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1	FEE TRANSMITTAL								Complete if Known						
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			fe	or F	Y 2	001		Filing	Date		July 3	July 30, 2001			
- 1								First N	lamed In	ventor	Paulr	Paulman			
			Patent	fees are s	subject to a	nnual revision.		Exam	Examiner Name						
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Γ	METHOD OF PAYMENT (check one)								FEE CALCULATION (continued)						
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- 1	1. ☐ indicated fees and credit any over payments to							Large	Entity	Small	Entity				
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- 1	Acc	count	Gar	dner, Car	ton & Dou	glas		139	130	139	130	Non-English specification			
- 1	Name							147	2,520	147	2,520	For filing a request for reexamination			
-								112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
	Applicant claims small entity status. See 37 CFR 1.27							113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
- 1	2.	Payme	ent Encl	osed:				115	110	215	55	Extension for reply within first month			
	☑ Check ☐ Credit card ☐ Money ☐ Other							116	390	216	195	Extension for reply within second month			
- F	Order								890	217	445	Extension for reply within third month			
	1. BASIC FILING FEE						118	1,390	218	695	Extension for reply within fourth month				
C	Large						128	1,890	228	945	Extension for reply within fifth month				
	Fee	Fee	Fee	Fee	Fee Desc	ription		119	310	219	155	Notice of Appeal			
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. 74	106	320		355	Utility filin	•	355	121	270	221	135	Request for oral hearing			
713	107	490	206 207	160 245	Design fil Plant filin	-		138	1,510	138	1,510	Petition to institute a public use proceeding			
	108	710	208	355	Reissue f	iling fee		140	110	240	55	Petition to revive – unavoidable			
£ .	114	150	50 214 75		Provision	al filling fee		141 142	1,240	241	620	Petition to revive – unintentional			
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Large	Entity	Small	Entity		146	710	246	355	Filing a submission after final rejection	
Fee	Fee	Fee	Fee	Fee Description	Į.				(37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	. oo bookipaan	149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in excess of 20	1				examined (37 CFR § 1.129(b))	
102	80	202	40	Independent claims in excess of 3	179	710	279	355	Description (DOS)	
104	270	204	135	Multiple dependent claim, if not paid	173	3 10	219	333	Request for Continued Examination (RCE)	
109	80	209	40	** Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application	_
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SUBMITTED BY Complete (if applicable)										
Name (Print/Type)	Michael R. Osterhoff	Registration No. Attorney/Agent)	46,973	Telephone	312-644-3000					
Signature	Mad A	184		Date	July 30, 2001					

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July 30, 2001 Date of Deposit

I hereby certify that a Utility Patent Application Transmittal (1 page); a Fee Transmittal in duplicate; a copy of Declaration and Power of Attorney (2 pages); a Specification, claims and abstract of the application (20 pages); Drawings (6 pages); a copy of the Information Disclosure Statement/PTO-1449 (4 pages); a copy of the Supplemental Information Disclosure Statement (3 pages); copy of Recordation Form Cover Sheet with copy of an Assignment of Rights of Invention (2 pages); Associate Power of Attorney (1 page); a check for \$355.00; and a return receipt postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to:

Assistant Commissioner for Patents Box: Patent Application Washington, DC 20231

For: FOLDED, BENT AND RE-EXPANDED HEAT EXCHANGER TUBE ASSEMBLIES

Inventor: Paulman

The state of the s

Brigid Powers

(Typed or Printed Name of Person Mailing Application)

Docket: P971853CON

MRO 82649-6

CH02/22139501.1

(Signature of Person Mailing Application)